

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	6888657
<b>Application Number:</b>	10661211
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7189
<b>Title of Invention:</b>	Method and system for estimating a bucket transition distribution of one or more bonds and for evaluating credit risk of collateralized debt obligations
<b>First Named Inventor/Applicant Name:</b>	Gyan P. Sinha
<b>Customer Number:</b>	28221
<b>Filer:</b>	Brian W. Weber
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	14846-96
<b>Receipt Date:</b>	27-JAN-2010
<b>Filing Date:</b>	12-SEP-2003
<b>Time Stamp:</b>	14:36:18
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$810
RAM confirmation Number	806
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	sb0030e_fill.pdf	768802	no	3
			53f5b5de6249a2d8116a5ac91cbb6fc49c5ee61		
Warnings:					
Information:					
2		Amendment.pdf	1422027	yes	15
			5009d67ec0b001c1467504bcbcf26d7c163be0a		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment After Final		1	1	
	Claims		2	11	
	Applicant Arguments/Remarks Made in an Amendment		12	15	
Warnings:					
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	30357	no	2
			ba801ebcf19559f995606c6cd37864313a17f688		
Warnings:					
Information:					
Total Files Size (in bytes):			2221186		

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.